

03-23-01

Attorney Docket No. 2271/61807

Total Pages 3

Express Mail Label No. EL628789409US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS

## Box Patent Application

Washington, D. C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Yuki UCHIDA

Inventor(s)

for

PRINTING SYSTEM, APPARATUS AND METHOD FOR  
AUTOMATICALLY PRINTING RECORDS OF ELECTRONIC TRANSACTIONS

Title of Invention

## APPLICATION ELEMENTS ENCLOSED:

1. ☒ Specification (total pages 24 including:
  - a. 9 pages of claims (23 claims)
  - b. 1 page(s) Abstract
2. ☒ 7 sheets of ☒ informal ☐ formal drawings (Figs. 1-7)
3. ☒ Oath or declaration of Applicant(s) ( 3 total pages)
  - a. ☒ Newly executed (original)
  - b. ☐ Unexecuted
  - c. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Item 14 completed)
4. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. ☐ Incorporation By Reference  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)

## APPLICATION PARTS ENCLOSED:

7. ☒ An assignment of the invention to Ricoh Company, Ltd. and Ricoh Corporation (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
9. ☒ Power of Attorney
  - a. ☒ Newly executed (original)
  - b. ☐ Unexecuted
  - c. ☐ Copy from a prior application

03/22/01  
jc962 U.S. PTO

11040 U.S. PRO  
09/815134  
03/22/01

009161614 092201

10.      Information Disclosure Statement (IDS)/PTO-1449

     Copies of IDS Citations

11.      A Preliminary Amendment

12. X Return Receipt Postcard (MPEP 503)

13.      Applicant(s) hereby establish small entity status under 37 C.F.R. §1.9 and §1.27

14.      If a Continuing Application, *check the appropriate box and supply the requisite information:*

☐ Continuation of prior application No.:              filed             

☐ Divisional of prior application No.:              filed             

☐ Continuation-in-part (CIP) of prior application No.:              filed             

15.      Amend the specification by inserting before the first line of page one: --This is a      continuation  
     divisional of application Serial No.              filed      --

16.      Priority of application No.              filed in      on     . Applicant hereby claims priority under 35  
U.S.C. § 119.

     A certified copy of priority application No.              is enclosed

     A certified copy of priority application No.              has been filed in prior application  
S.N.              filed             

17. X Other (identify) Request and Certification Under 35 USC 122(b)(2)(B)(i)

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	Number Filed		Number Extra*		Rate			Fee	
					Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	23 - 20	=	3	x	\$ 9	\$ 18	=	\$ 0	\$ 54
Indep. Claims	6 - 3	=	3	x	\$ 40	\$ 80	=	\$ 0	\$ 240
Multiple Dependent Claims Presented: Yes <u>    </u> No <u>X</u>					\$135	\$270	=	\$ 0	\$ 0
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 355	\$ 710
					Total Fee			\$	\$ 1,004

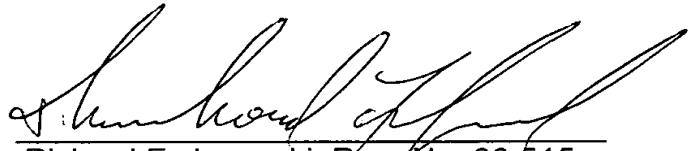
FILED IN THE 35th

18. X A check in the amount of \$ 1,004.00 to cover the filing fee.
19. X A check in the amount of \$ 40.00 for recordation of the Assignment.
20. X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.
- X Filing fees under 37 C.F.R. § 1.16.
- X Patent application processing fees under 37 C.F.R. §1.17.
- \_\_\_\_\_ The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
21. X Three copies of this sheet are enclosed.
22. \_\_\_\_\_ Other (identify) \_\_\_\_\_

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Respectfully submitted,



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March 22, 2001  
Date

FILED IN THE OFFICE OF THE CLERK OF THE DISTRICT COURT OF THE SOUTHERN DISTRICT OF NEW YORK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Yuki UCHIDA

Serial No. : Group Art Unit:

Date Filed : Concurrently Herewith Examiner:

For : PRINTING SYSTEM, APPARATUS AND METHOD  
FOR AUTOMATICALLY PRINTING RECORDS OF  
ELECTRONIC TRANSACTIONS

1185 Avenue of the Americas  
New York, N.Y. 10036

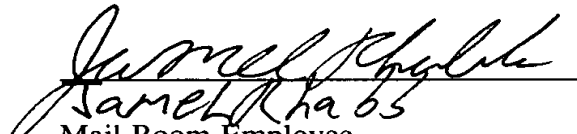
Assistant Commissioner for Patents  
**Box Patent Application**  
Washington, D.C. 20231

**EXPRESS MAIL**  
**LETTER OF TRANSMITTAL**

Express Mail mailing label number: EL628789409US

Date of Deposit: March 22, 2001

I hereby certify that the above-identified application (consisting of a 24-page specification (including 9 pages of claims (23 claims) and a 1 page Abstract), and 7 sheets of informal drawings (Figs. 1-7)), 3 copies of an Application Transmittal Form, executed Declaration and Power of Attorney, Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i), executed Assignment form and attached form PTO-1595 Cover Sheet, a ck. for the \$40 recordation fee, and a check for the \$1,004 filing fee, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Samuel Rha 65  
Mail Room Employee  
Depositing Express Mail Material

Attorney for Applicant:  
IVAN S. KAVRUKOV, Reg. No. 25,161  
Cooper & Dunham LLP  
Tel: (212) 278-0400

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor		Yuki UCHIDA
Title	Printing System, Apparatus And Method For Automatically Printing Records Of Electronic Transactions	
Atty Docket Number		2271/61807

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 22, 2001

Date

  
Signature

Richard F. Jaworski, Reg. No. 33,515

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FORM PTO/SB/35 (11-00)